

FORM PTO-1390 (Modified)
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

025098/0603

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/807354

INTERNATIONAL APPLICATION NO.

PCT/US99/20489

INTERNATIONAL FILING DATE

10 September 1999

PRIORITY DATE CLAIMED

11 September 1998

TITLE OF INVENTION

INHIBITION OF ONCOGENE TRANSCRIPTION BY SYNTHETIC POLYAMIDES

APPLICANT(S) FOR DO/EO/US

Peter B. DERVAN

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

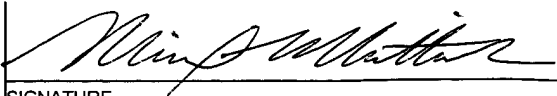
1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21 indicated below).
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - ☐ has been transmitted by the International Bureau.
 - ☒ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ An English translation of the International Application into English (35 U.S.C. 371(c)(2)).
 - ☐ is attached hereto.
 - ☐ has been previously submitted under 35 U.S.C. 154 (d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - ☐ have been transmitted by the International Bureau.
 - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - ☐ have been made and filed in the United States Receiving Office.
8. ☐ An English translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 – 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☐ Other items of information:

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ec d r e 10 APR 2001

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) Unknown 097807354		INTERNATIONAL APPLICATION NO. PCT/US99/20489		ATTORNEY'S DOCKET NUMBER 025098/0603	
21. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATION	
Basic National Fee (37 CFR 1.492(a)(1)-(5): Neither international preliminary examination fee (37 CFR 1.482) nor International search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO..... \$1000.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 International preliminary examination fee paid (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2) paid to USPTO..... \$710.00 International preliminary examination fee paid (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO And all claims satisfied provisions of PCT Article 33 (1)-(4) \$100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$860.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e))					
Claims	Number Filed	Included in Basic Fee	Extra Claims	Rate	
Total Claims	25	20	5	\$18.00	\$ 90.00
Independent Claims	3	3	0	\$80.00	\$ 0.00
Multiple dependent claim(s) (if applicable)				\$270.00	\$ 0.00
TOTAL OF ABOVE CALCULATIONS =				\$950.00	
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$475.00	
SUBTOTAL =				\$475.00	
Processing fee of \$130.00 for furnishing English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	
TOTAL NATIONAL FEE =				\$0.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					
TOTAL FEES ENCLOSED =				\$475.00	
				Amount to be refunded:	
				charged:	
a. <input checked="" type="checkbox"/> A check in the amount of \$609.00 to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0872 . A duplicate copy of this sheet is enclosed.					
d. <input type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: Foley & Lardner 402 W. Broadway, Suite 2300 San Diego, California 92101-3542 Telephone: (310) 975-7963				 SIGNATURE NAME MICHAEL A. WHITTAKER REGISTRATION NUMBER 46,230	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Application No. (if known, see 37 CFR 1.5) **Unknown**
International Application No. **PCT/US99/20489**
International Filing Date: **10 September 1999**
Priority Date Claimed: **11 September 1998**
Title of Invention **INHIBITION OF ONCOGENE TRANSCRIPTION BY SYNTHETIC POLYAMIDES**
Applicant(s) for DO/EO/US **California Institute of Technology**
Agent's Ref: **025098/0603**

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EL 727050441 US

Date of Deposit: April 10, 2001

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to ATTENTION PCT LEGAL STAFF, BOX PCT, Assistant Commissioner for Patents, Washington, D.C. 20231.

By: Sandra A'Costa

Sandra A'Costa

Attention: PCT Legal Staff
Box PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Letter to the United States Designated/Elected Office 9DO/EO/US) Concerning A Filing Under 35 USC 371
- ☒ Petition For Revival of an International Application for Patent Designating the U.S. Abandoned Unintentionally Under 37 CFR 1.137(B)
- ☒ Check in the amount of \$620.00 for Petition Fee
- ☒ Check in the amount of \$475.00 for Application Fee
- ☒ Return Postcard

Please charge any additional fees associated with this transmittal, or credit any overpayment to Deposit Account No. 50-0872. A duplicate of this sheet is enclosed.

FOLEY & LARDNER
402 W. Broadway, Suite 2300
San Diego, CA 92101-8579
1-619-234-6655 Office
1-619-234-3510 Fax

By: Michael A. Whittaker

Name: Michael A. Whittaker

Reg. No. 46,230

MAW:snac